

Form PTO-1449

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)



Docket Number 300622000212

Application Number 09/870,012

Applicant

Chaitan KHOSLA et al.

Filing Date May 29, 2001

Group Art Unit 1652

Mailing Date November 2, 2004

## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
KK	1.	11/1985	4,551,433	DeBoer	435	253	
	2.	10/1989	4,874,748	Katz et al.	514	29	
	3.	06/1990	4,935,340	Baltz et al.	435	6	
	4.	11/1991	5,063,155	Cox et al.	435	76	
	5.	03/1992	5,098,837	Beckmann et al.	435	172.3	
	6.	09/1992	5,149,639	Katz et al.	435	76	
	7.	12/1992	5,168,052	Cox et al.	435	72	
	8.	10/1993	5,252,474	Gewain et al.	435	172.3	
	9.	05/1996	5,514,544	Rao et al.	435	6	
	10.	01/1998	5,712,146	Khosla et al.	435	252.35	
	11.	12/1999	6,004,787	Katz et al.	435	183	
	12.	05/2000	6,060,234	Katz et al.	435	4	
	13.	05/2000	6,063,561	Katz et al.	435	4	
	14.	05/2000	6,066,721	Khosla et al.	536	23.1	
	15.	06/2000	6,080,555	Khosla et al.	435	41	
	16.	03/2001	6,200,813	Katz et al.	435	477	
✓	17.	08/2001	6,271,255	Leadlay et al.	514	450	

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
KK	18.	08/1997	EP 791655	Europe			
KK	19.	08/1997	EP 791656	Europe			
KK	20.	12/1996	WO 96/40968	WIPO			
KL	21.	01/1998	WO 98/01546	WIPO			

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Kathleen H

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EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

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↓	24.	Cane et al., J. Antibiotics (1995) 48:647-651
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KK	26.	Dalbie-McFarland et al., Proc. Natl. Acad. Sci. USA (1982) 79:6409
KK	27.	Donadio et al., Industrial Microorganism, Basic and Applied Molecular Genetics, R. H. Baltz, G.D. Hegeman and P.L. Skatrud (eds) (Amer. Soc. Microbial.), Washington, D.C. (1993) pp. 257-265
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| 46. | Lehrer et al., J. Immun. Meth. (1991) 137:167-173   |
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ALTERNATIVE TO PTO SB 020 5 (CS-C3)

<b>Substitute for form 1449/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	09/870,012
				Filing Date	May 29, 2001
				First Named Inventor	Chaitan KHOSLA
				Art Unit	1652
				Examiner Name	K. KERR
Sheet	1	of	1	Attorney Docket Number	300622000212

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			

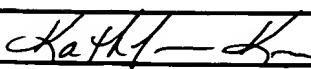
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>0</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1.	<del>European Search Report for EP 04 02 3766, mailed on November 2, 2004, 5 pages</del>	
KK	2.	LUO et al., Bioorganic and Medicinal Chemistry (1996) 4(7):995-999	
KK	3.	WATANABE et al., Chemistry and Biology (1996) 3(6):463-469	

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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